

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,165	01/26/2001	Robert Fung-chen Pan	RAL919990012US1

CONFIRMATION NO. 8089

## FORMALITIES LETTER



\*OC00000005833692\*

25303  
IBM CORPORATION  
2Y7/B656  
PO BOX 12195  
RESEARCH TRIANGLE PARK, NC 27709

Date Mailed: 03/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

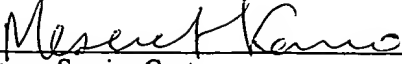
06/22/2001 CNGUYEN 00000048 090464 09770165

02 FC:105 130.00 CH

RECEIVED

JUN 26 2001

OFFICE OF PETITIONS

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: RAL919990012US1

*DAES*  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application: R. F. Pan et al      Group No.: 2131  
Filed: January 26, 2001      Serial No.: 09/770,165  
For: Network Router

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the following **attached** correspondence comprising:

1. Petition and Fee for Extension of Time (2 months) (in duplicate)
2. Transmittal of Missing Parts (in duplicate)
3. Notice of Missing Parts
4. Petition Accompanying Statement of Facts Pursuant to 37 CFR 1.47
5. Declaration and Power of Attorney
6. Added Page to Declaration on Behalf of NonSigning Inventor
7. Petition Fee CFR 1.117(i)
8. Statement of Facts - Orzechowski
9. Formal Drawings (4 sheets)
10. Reply post card

**RECEIVED**

JUN 26 2001

OFFICE OF PETITIONS

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**BOX MISSING PARTS**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

on    June 18, 2001

Karen Orzechowski  
(Name of person mailing paper or fee)

Karen Orzechowski  
(Signature of person mailing paper or fee)



IBM Docket No. RAL919990012US1

PATENT

**CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))**

*I hereby certify this correspondence is being deposited with the United States Postal service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 18, 2001,  
by Karen Orzechowski Signature: Karen Orzechowski*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Date:** June 18, 2001

**In re Application of:** Robert F. Pan, et al.

**Serial Number:** 09/770,165

**Filed:** Jan 26, 2001

**For:** Network Router

**Art Unit:** 2131

**Examiner:**

RECEIVED

**TRANSMITTAL OF MISSING PARTS**

JUN 26 2001

Assistant Commissioner for Patents  
Washington, DC 20231

OFFICE OF PETITIONS

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed March 7, 2001 enclosed are Formal Drawings and the Declaration and Power of Attorney and Added Page on Behalf of Nonsigning Inventor, executed by inventors Pan and Morris.

Please charge the \$130.00 fee to deposit account 09-0464 for the Missing Parts and an additional \$130.00 for the Petition 1.17(i) fee for a total of \$260.00.  
A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

By Joscelyn G. Cockburn  
Joscelyn G. Cockburn  
Attorney of Record, Reg. No. 27,069

JGC/ko  
Enclosures  
Phone: 919-543-9036 / Fax: 919-543-3634

#4



IBM Docket No. RAL919990012US1 - PATENT

**In the United States Patent and Trademark Office**

**Date:** June 18, 2001

**In re Application of:** R. F. Pan

**Group Art Unit:** 2131

**Serial Number:** 09/770,165

**Filed:** January 26, 2001

**For:** Network Router

**Attn: Official Draftsman**  
Assistant Commissioner of Patents  
Washington, DC 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

Attached please find formal drawings for the above-identified application:

Respectfully submitted,

  
Joscelyn G. Cockburn

Attorney of Record, Reg. No: 27,069

IBM  
Corporation 2Y7/656  
P.O. Box 12195  
Research Triangle Park, NC 27709  
Telephone: 919-543-9036  
Fax: 919-543-3634

**Certificate of Mailing (37 CFR 1.8a)**

I hereby certify this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231

Date: June 18, 2001

  
Karen Orzechowski